

## Attachment 1

### Comparison of the quality indicators of DIVI 2017 and 2022

#### a) Quality indicators intensive care (4<sup>th</sup> edition 2022)

| Number | Main Indicators I–X   |
|--------|---|
| I      | Daily multi-professional and interdisciplinary ICU rounds with documentation of daily goals |
| II     | Management of sedation, analgesia, and delirium   |
| III    | Patient-adapted ventilation (for severe lung failure)                                       |
| IV     | Early weaning from invasive ventilation   |
| V      | Monitoring of infection prevention measures   |
| VI     | Infection management measures   |
| VII    | Patient-adapted clinical nutrition  |
| VIII   | Structured communication with patients and relatives (next of kin)                          |
| IX     | Early mobilization  |
| X      | Direction of the intensive care unit  |

#### b) Quality indicators intensive care (3<sup>rd</sup> edition 2017)

| Number | Main Indicators I–X  |
|--------|--|
| I      | Daily multi-professional and interdisciplinary clinical visits with documentation of daily goals |
| II     | Management of sedation, analgesia and delirium   |
| III    | Patient-adapted ventilation  |
| IV     | Early weaning from invasive ventilation  |
| V      | Monitoring of infection prevention measures  |
| VI     | Measures for infection management  |
| VII    | Early enteral nutrition  |
| VIII   | Documentation of structured patient and family communication                                     |
| IX     | Early mobilization   |
| X      | Direction of the intensive care unit   |

## The new quality indicators of the DIVI in tabular form

### Main indicator I

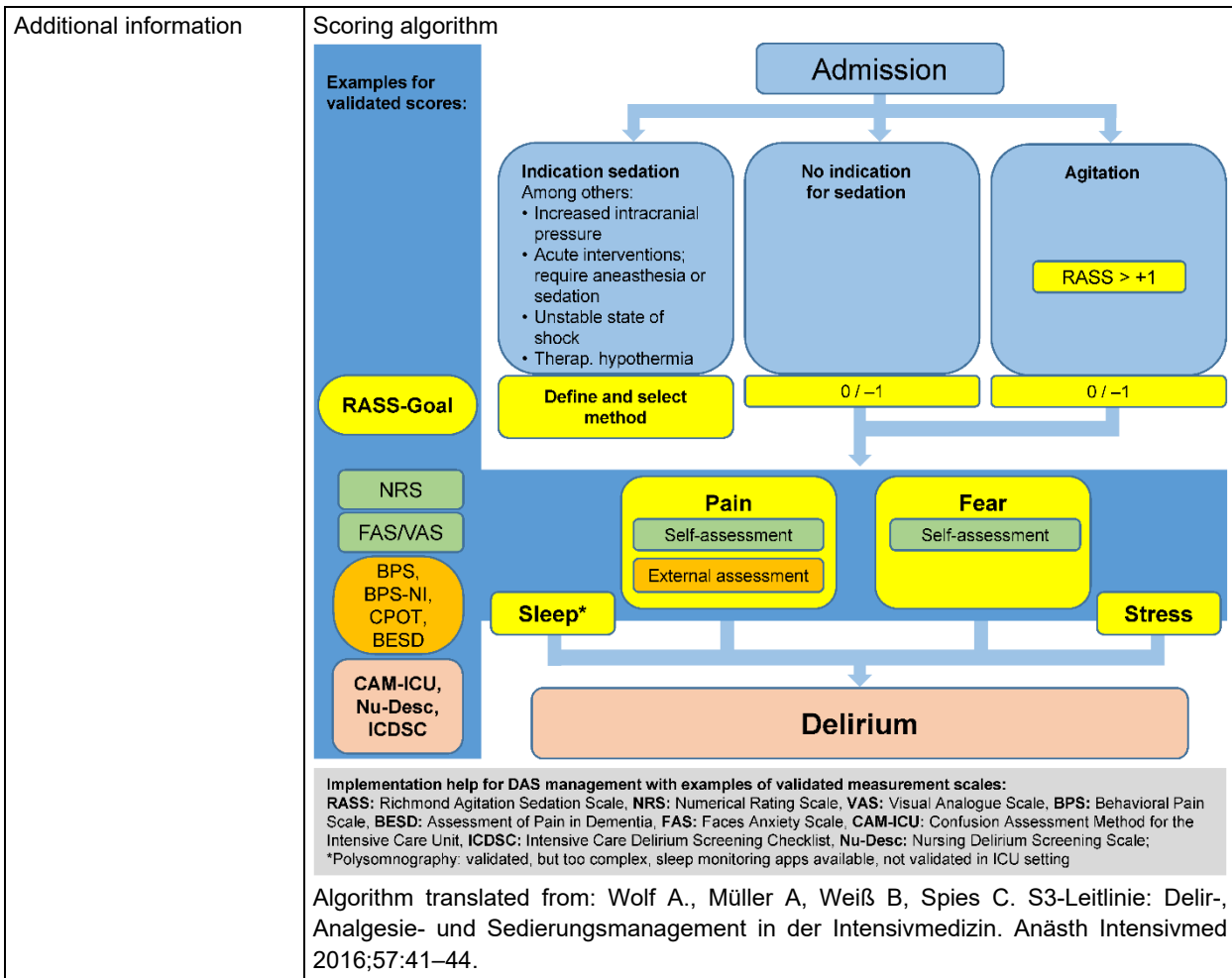
|                            |   |
|----------------------------|---|
| Name of the indicator      | <b>Daily multi-professional and interdisciplinary ICU rounds with documentation of daily goals</b>  |
| Dimension                  | Risk and effectiveness  |
| Type of indicator          | Process   |
| Justification              | Daily multi-professional and interdisciplinary ICU rounds improve communication among the different professions involved in ICU treatment. Of particular importance is the written documentation of daily goals for each patient. The determination of daily (short-term) and longer-term objectives should lead to more effective implementation of planned measures considering treatment objectives and thus improve the quality of treatment. There is a risk of information loss and thus loss of quality due to poorly structured hand-overs, visits, and their documentation.  |
| PICO                       | Performing a daily multi-professional and interdisciplinary ICU round with documentation of daily goals improves communication among the ICU treatment team and improves the outcome of this treatment compared to an unstructured ICU round.   |
| Quality goal               | Permeating the daily routine in an ICU with predefined daily goals and carrying out a multi-disciplinary visit  |
| Process quality numerator  | Daily visits with comprehensibly documented treatment goals   |
| Denominator                | All treatment days of a patient in the ICU  |
| Explanation of terminology | <p><b>ICU round:</b> Daily interprofessional and – depending on the treatment spectrum of the ICU – also interdisciplinary ICU round with at least one senior ICU-physician responsible for all medical decisions (head of the ICU or other senior ICU-physician) present. The ICU rounds should enable all participating professions to provide and receive information regarding the patient's clinical status.</p> <p><b>Daily goals:</b> Daily goals should be defined during the ICU round, involving all professions and disciplines, including the adequate indication of a treatment.</p> <p>The following points should be considered when defining daily goals:</p> <ul style="list-style-type: none"> <li>• Coordination of communication (consultants/relatives/institutions providing treatment) (see also QI VIII)</li> <li>• Re-evaluation of therapy goals/change of therapy goals/ethical decisions</li> <li>• Analgesia, sedation and delirium prevention and management</li> <li>• Mechanical ventilation/Weaning/SAT/SBT (see QI III/IV)</li> <li>• Hemodynamic situation/fluid balance</li> <li>• Nutrition (see QI VII)</li> <li>• Infection management (see QI V/VI)</li> <li>• Definition of preventive measures (anti-coagulation/decubitus/gastric protection/early mobilization/special physiotherapy measures)</li> <li>• Planned measures (diagnostic/therapeutic); do they have a consequence in the treatment of the patient?</li> <li>• Adaption of medication</li> </ul> <p><b>Documentation:</b> The more professions or disciplines are involved in the treatment of a patient, the more difficult it is to unite them on one ICU round. Therefore, written statements are very important to ensure information flow. A written documentation shows what was defined by the primary participants and helps others who were unable to attend to comprehend what was considered important. Modifications of therapeutic goals can be understood and followed.</p> <p>Documentation templates support the communication-enhancing effect of a multi-professional and interdisciplinary ICU round.</p> <p>Daily goal checklists support the implementation as has been shown in the literature. However, checklists alone do not improve patient safety.</p> |

|                        |  |
|------------------------|--|
| Source of data         | Patient record, PDMS<br>Query: peer review   |
| Standard value         | <b>90% correctly documented ICU rounds</b>   |
| Level of evidence      | Expert consensus   |
| QUALIFY                | Optional evaluation  |
| WG-members             | R. Wildenauer, A. Brinkmann, A. Markewitz, M. Assenheimer  |
| Conflicts of interest  | See Attachment 2   |
| Literature             | [1, 2–11]  |
| Additional information | Validated daily targets/items (acronyms): <ul style="list-style-type: none"> <li>• A: Pain management</li> <li>• B: SBT – SAT</li> <li>• C: Analgesia – sedation</li> <li>• D: Delirium prevention and management</li> <li>• E: Early mobilization – physiotherapy</li> <li>• F: Involvement of the family</li> </ul> TRIKK: 5 guiding questions, which can be easily answered during the visits – guide to the patient’s wishes (acronym): <ul style="list-style-type: none"> <li>• Formulate the therapy goal (T)</li> <li>• Re-evaluate the therapy goal regularly (R)</li> <li>• Is there an appropriate indication? (I)</li> <li>• The planned procedure has a consequence and serves the therapeutic objective (K)</li> <li>• Is there a consensus of the patient for the current or planned treatment? (K)</li> </ul> |

## Main indicator II

|                       |  |
|-----------------------|--|
| Name of the indicator | <b>Management of sedation, analgesia, and delirium</b>   |
| Dimension             | Risk and effectiveness   |
| Type of indicator     | Process  |
| Justification         | Inadequate sedation (oversedation or undersedation), inadequate analgesia, and untreated delirium result in increased morbidity, mortality, and resource consumption. A multi-modal concept for the management of analgesia, sedation and delirium should be available as a standard in every ICU. Regular monitoring of sedation depth and analgesia quality as well as the use of instruments to detect delirium are prerequisites for the implementation of such a concept.<br>Indicator II is divided into the <b>management of sedation, analgesia, and delirium</b> .<br><b>Structure:</b> Are there SOPs that cover all three aspects (sedation, analgesia, and delirium)?<br><b>Process:</b> Once per shift, a validated score is collected for analgesia, sedation, and delirium. Measuring these scores represents only a small technical and time-consuming effort; therefore, only one missing value is acceptable. The reference value has been adjusted accordingly.<br>Optional evaluation of <b>outcome quality:</b> An analysis is <b>recommended</b> at least once a year; institutions using a PDMS may use shorter intervals.<br>a) Sedation (periods without sedation, times in the target range +/-1)<br>b) Analgesia (percentage of pain score in target range)<br>c) Delirium (only prevalence assessment; was a therapy initiated, if yes, what therapy?) |
| PICO                  | Can a regular, continuous measurement of sedation, pain and delirium scores improve the treatment process in these dimensions in adult intensive care patients compared to no score measurement?   |

|                            |   |
|----------------------------|---|
| Quality goal               | Ensure timely and continuous monitoring of inappropriate sedation, inadequate pain management and detection of delirium throughout the course of ICU treatment  |
| Structure quality          | A standard for the management of sedation, analgesia and delirium is available  |
| Process quality numerator  | Number of all score-measurements performed  |
| Denominator                | Total number of possible measurements in all ICU patients during the entire treatment period<br>(Total number of predefined measurements = (treatment days –1) x3)  |
| Explanation of terminology | The use of validated sedation, analgesia and delirium scales is recommended in clinical guidelines.<br>Monitoring: assessment of sedation and analgesia levels as well as presence of delirium on validated scales every 8 hours or if the clinical situation changes.<br>Recommended scales [SCORE]: <ul style="list-style-type: none"> <li>• RASS: Richmond Agitation and Sedation Scale</li> <li>• NRS: Numeric Rating Scale or BPS: Behavioral Pain Scale</li> <li>• CAM-ICU: Confusion Assessment Method – Intensive Care Unit</li> <li>• ICDSC: Intensive Care Delirium Screening Checklist</li> </ul> or other validated delirium scores.<br>Other aspects of a concept for managing analgesia, sedation and delirium include the use of preventive measures (e.g. maintaining the day-night rhythm) and non-pharmacological therapies. The basic avoidance of sedation and the daily interruption of a long-term sedation (wake-up call) should be included in a standard. The definition of sedation targets and, if necessary, the technical monitoring of the sedation depth when deep sedation is indicated are additional aspects of management. Providing information materials for relatives may help to implement these concepts. |
| Source of data             | 1. Structure: query<br>2. Process: patient record (clinical documentation); PDMS<br>3. Outcome: patient record (clinical documentation); PDMS<br>Query: peer review   |
| Standard value             | 1. Structure (SOPs: sedation/analgesia/delirium)<br>Standard yes/no (Yes=100%)<br>2. Process scoring (sedation/analgesia/delirium):<br>Scoring frequency ≥88% (missing one measurement)<br>3. Outcome (optional): (no specifications)<br>Target-performance comparison (sedation/analgesia/delirium)  |
| Level of evidence          | Expert consensus  |
| QUALIFY                    | Optional evaluation   |
| WG-members                 | O. Kumpf, P. Czorlich, S. Krotsetis   |
| Conflicts of interest      | See Attachment 2  |
| Literature                 | [12]  |



**Main indicator III**

|                       |  |
|-----------------------|--|
| Name of the indicator | <b>Patient-adapted ventilation (for severe lung failure)</b>   |
| Dimension             | Risk and effectiveness   |
| Type of indicator     | Process  |
| Justification         | Severe acute pulmonary failure (ARDS) requires ventilation and positioning strategies adapted to the individual patient in order to prevent further pulmonary and systemic damage and to improve outcome. ARDS is often diagnosed too late or not at all, which leads to insufficient treatment because standards are not consistently applied. A standardized, stepwise approach to ventilator therapy (i.e. a standard for mechanical ventilation) for severe acute pulmonary failure can improve survival and should be present in every ICU.<br>The concept should describe a stepwise approach based on the current S3-guideline for invasive ventilation and extracorporeal lung support of the AWMF registry. It should include different aspects of optimizing mechanical ventilation (e.g. PEEP optimization, limitation of pulmonary strain and driving pressure ( $\Delta a_P = V_T / \text{Compliance}$ )) and adjunctive therapies such as prone positioning. Early contact to a specialized center for ARDS therapy should be possible, unless extracorporeal lung support is performed on a particular ICU. |
| PICO                  | Does the use of standardized mechanical ventilation reduce morbidity and mortality in adult patients with severe acute pulmonary failure (ARDS) compared to treatment without a standard?  |
| Quality goal          | The indicator is intended to improve the treatment outcome of severe respiratory failure by applying standardized treatment procedures based on the most recent scientific evidence in ARDS therapy. The focus is an individualized mechanical ventilation strategy.   |

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| Structure quality          | Mechanical ventilation standard is available: yes/no   |
| Process quality numerator  | Number of patients with severe pulmonary failure and treatment according to a guideline-based mechanical ventilation standard  |
| Denominator                | All mechanically ventilated patients with pulmonary failure  |
| Explanation of terminology | <p>The aim of patient-adapted ventilation is to ensure an adequate (not necessarily physiological) gas exchange while avoiding secondary pulmonary parenchymal damage and systemic inflammation (biotrauma). Adjunctive measures such as prone positioning may support this in the treatment of severe ARDS.</p> <p>Components of the standard for a patient-adapted therapy should include limiting tidal volume (<math>V_T</math> 6–7 ml/kg ideal body weight), limiting plateau pressure (30 cmH<sub>2</sub>O), and individualized PEEP setting. Driving pressure (<math>\Delta p_P</math>) considers compliance in addition to <math>V_T</math> and is thus more suited for estimating the mechanical stress of ventilation than <math>V_T</math> alone. <math>\Delta p_P &gt; 14</math>–15 cmH<sub>2</sub>O are associated with excess mortality.</p> <p>Individualization of PEEP can be pragmatically based on the extent of hypoxemia (e.g. PEEP table). Furthermore, patient-related factors (e.g. preexisting pulmonary damage, obesity, and the hemodynamic state) should be considered. This may include bedside measurements (e.g. driving pressure, transpulmonary pressure, HZV) and diagnostic imaging (e.g. chest computed tomography, ultrasound, electric impedance tomography=EIT). Recruitment maneuvers should not be performed. Prone positioning is recommended for patients with a <math>PaO_2/FiO_2 &lt; 150</math> mmHg. In the absence of contraindications (e.g. increased intracranial pressure), spontaneous ventilation should be allowed early, as this leads to improved basal lung ventilation, needs less sedation, and improves hemodynamics. Use of muscle relaxants is not recommended (any more).</p> <p>If necessary, a transfer process to a specialized center for the treatment of severe ARDS with extracorporeal lung support should be established.</p> |
| Source of data             | <ol style="list-style-type: none"> <li>1. Structure: standard/SOP available</li> <li>2. PDMS, patient file</li> </ol> Query: peer review   |
| Standard value             | <ol style="list-style-type: none"> <li>1. Structure<br/>yes 100% (SOP – ventilation standard)</li> <li>2. Process:<br/>≥70% patient-adapted ventilation</li> </ol>   |
| Level of evidence          | Expert consensus   |
| QUALIFY                    | Optional evaluation  |
| AG-members                 | T. Schürholz, H. Wrigge, B. Kruger, O. Kumpf   |
| Conflicts of interest      | See Attachment 2   |
| Literature                 | [13, 14, 15–21]  |

**Main indicator IV**

|                            |   |
|----------------------------|---|
| Name of the indicator      | <b>Early weaning from invasive ventilation</b>  |
| Dimension                  | Risk and effectiveness  |
| Type of indicator          | Process   |
| Justification              | Invasive ventilation is associated with the risk of complications (e.g. ventilator-associated pneumonia (VAP), ventilation-induced lung injury (VILI), delirium, and atrophy of the respiratory muscles). The therapeutic goal is to achieve the shortest possible duration of mechanical ventilation by early weaning of invasive ventilation, depending on the status of the underlying disease.<br>Depending on type and severity of the disease, application of non-invasive ventilation (NIV) or the application of oxygen via high flow nasal canula (HFNC) should be considered. This helps to avoid invasive ventilation or reintubation after primary successful extubation.   |
| PICO                       | Structured weaning with a protocol shortens the length of mechanical ventilation and reduces the number of patients with unsuccessful prolonged weaning compared to weaning without a protocol.   |
| Quality goal               | Lowest possible number of patients with unsuccessful weaning  |
| Structure quality          | Existence of a guideline-based concept (SOP) for weaning, in particular for prolonged weaning and a structured weaning documentation in the patient file  |
| Process quality numerator  | Number of patients with invasive mechanical ventilation with weaning evaluation and/or a documented weaning process   |
| Denominator                | All patients with invasive mechanical ventilation   |
| Outcome quality            | Number of mechanically ventilated patients transferred to out-of-hospital treatment after unsuccessful weaning (optional survey)  |
| Explanation of terminology | <b>Structure and process characteristics:</b> Daily check of prerequisites for weaning and a spontaneous breathing trial, using a standardized weaning protocol. There is also a connection to QI II, which addresses daily sedation targets with documentation of score values. A guideline-based concept (e.g. SOP) for weaning, especially for prolonged weaning, should be implemented by an interprofessional treatment team (physicians, nurses, physiotherapist, respiratory therapist, speech therapist). A comprehensible weaning documentation in the medical file, incl. documentation of a weekly weaning-conference is necessary. This facilitates the implementation of the newly created weaning OPS for reimbursement purposes. |
| Source of data             | Structure: standard/SOP weaning concepts available<br>Process: PDMS, clinical documentation<br>Outcome: PDMS, controlling data<br>Query: peer review  |
| Standard value             | Structure: yes/no – yes 100%<br>Process: >70% number of positive responses  |
| Level of evidence          | Expert consensus  |
| QUALIFY                    | Optional evaluation   |
| AG-members                 | R. Riessen, H. Habermehl, O. Kumpf  |
| Conflicts of interest      | See Attachment 2  |
| Literature                 | [15, 22, 23]  |

**Main indicator V**

|                       |  |
|-----------------------|--|
| Name of the indicator | <b>Monitoring of infection prevention measures</b>   |
| Dimension             | Risk and effectiveness   |
| Type of indicator     | Structure, process, outcome  |
| Justification         | <p>Patients in the ICU are at high risk of hospital acquired infections. This becomes more and more important with the increasing occurrence of multi-resistant pathogens (MRE). Within the framework of the Infection Protection Act, medical institutions are highly responsible for prevention of those infections.</p> <p><b>1. Structural quality:</b><br/>For the implementation of effective infection prevention, established hygiene standards (e.g. KRINKO recommendations, S1 guidelines of the AWMF) must be followed. These standards include hand disinfection, treatment of patients with multi-resistant pathogens, VAP prophylaxis, hygiene measures for invasive procedures, etc.). These measures should be documented in an SOP for infection prevention in an ICU and should reflect these current recommendations. It is recommended that infection prevention strategies are bundled and developed by multi-disciplinary and interprofessional teams adapted to local conditions.</p> <p><b>2. Process quality:</b></p> <ul style="list-style-type: none"> <li>• Adequate hand hygiene is a fundamental part of the prevention of nosocomial infections. Therefore, the German campaign “Aktion Saubere Hände” was launched based on the WHO campaign “Clean Care is Safer Care” to improve compliance with hand disinfection. This compliance can be monitored indirectly by measuring hand disinfectant consumption.</li> <li>• The indication of catheters (e.g. leaving in place, new implantation) should be documented daily in the patient records (“stop orders”).</li> </ul> <p><b>3. Outcome quality</b></p> <ul style="list-style-type: none"> <li>• Ventilator-associated pneumonia (VAP)</li> <li>• Catheter-associated urinary tract infections (CAUTI)</li> <li>• Central Line-Associated Bloodstream Infection (CLABSI)</li> <li>• External ventricular drainage (EVD) associated ventriculitis</li> </ul> <p>are typical infections complicating ICU treatment. Recommendations for their prevention should be followed appropriately. Monitoring the frequency of at least one of these infections (surveillance) provides the opportunity to identify problems in hygiene management and to measure the success of a quality improvement measure. Participation in the ITS-KISS module of the National Reference Center for Surveillance of Nosocomial Infections (NRZ) is a suitable tool to support the documentation of the quality of results.</p> |
| PICO                  | Can the use of standard operation procedures for prevention of nosocomial infection and infection surveillance reduce the incidence of nosocomial infections compared to the absence of these measures?  |
| Quality goal          | The quality indicator monitors the structure, process, and outcome quality as a measure for the implementation of infection prevention guidelines. The aim is to ensure that current recommendations for infection prevention are implemented in ICUs.   |
| Structure quality     | Implemented standard operation procedure for infection prevention  |
| Process quality       |  |
| Numerator             | Number of STOP ORDERS  |
| Denominator           | Number of invasive devices   |
| Numerator             | Hand disinfectant consumption in liters  |
| Denominator           | 1,000 patient days   |
| Outcome quality       | ITS-KISS of the NRZ  |
| Numerator             | Number of infection events per device  |
| Denominator           | 1,000 device days  |



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|----------------------------|--|
| Explanation of terminology | <p><b>5 indications of hand disinfection:</b></p> <ol style="list-style-type: none"> <li>1. BEFORE patient contact</li> <li>2. BEFORE an aseptic activity</li> <li>3. AFTER contact with potentially infectious materials</li> <li>4. AFTER patient contact</li> <li>5. AFTER contact with the immediate patient environment</li> </ol> <p><b>Possible measures for VAP prophylaxis</b></p> <p>For this purpose, various measures are mentioned in the literature that can contribute either as a bundle of measures (VAP bundle) or as a single measure to reduce the incidence of VAP. The composition of the VAP bundle differs in the literature. Therefore, the impact of individual bundle components on outcome is difficult to assess. However, VAP bundles as such have been shown to reduce the incidence of VAP. It is recommended to have implemented at least three measures of a VAP bundle in the standards of the ICU, e.g.: oral care and oral decontamination with antiseptic solutions (ODD), avoidance of oral aspiration, e.g. by regular cuff pressure measurements, and continuous subglottic secretion suction. There is evidence that oral hygiene containing chlorhexidine reduces the VAP frequency but does not affect the further course (e.g. duration of respiration, ICU length of stay, mortality). There is a lack of data regarding possible adverse effects.</p> <p><b>Possible measures for CLABSI prophylaxis</b></p> <p>It is recommended to have SOPs for the implantation and care of intravascular catheters and to train their use. Measures for catheter placement should include: hand disinfection before puncture, choice of skin disinfection (e.g. chlorhexidine-containing solutions), maximum sterile barrier precaution (sterile gloves, sterile coat, mask, sufficiently large sterile cover), and information on puncture techniques (avoidance of V. femoralis as a puncture site, sonography). Catheter care should include information on disinfection when using the catheter, indications for use (avoidance of unnecessary manipulation), catheter removal, and care of the insertion site.</p> <p><b>Possible measures for CAUTI prophylaxis</b></p> <p>It is recommended to have SOPs for the installation and care of urinary bladder catheters and to train their use. Catheter insertion measures should include: indication and daily inspection, aseptic placement of the catheter, use of sterile and closed urinary drainage systems, management of the catheter in place, instructions for emptying the bag, and management of catheter removal.</p> <p><b>Possible measures for the prophylaxis of external ventricular drainage (EVD) associated ventriculitis</b></p> <p>It is recommended to have SOPs for the installation and maintenance of drainage systems and to train their use. Installation of drainage systems in the operating room as far as possible. Measures for the installation of the drains should include: hand disinfection before puncture, choice of skin disinfection (e.g. chlorhexidine-containing solutions), maximum sterile barrier precaution (see above), and microbiological monitoring of the cerebrospinal fluid every 2–3 days and depending on clinical signs. Daily monitoring (and documentation) of the insertion site.</p> |
| Source of data             | <ol style="list-style-type: none"> <li>1. Structure: query</li> <li>2. Process: patient record; PDMS</li> <li>3. Patient file or KISS data</li> </ol> <p>Query: peer review</p>  |
| Standard value             | <p>Structure:</p> <ul style="list-style-type: none"> <li>• SOPs for infection prevention available</li> <li>• Participation in the ITS-KISS module of the NRZ</li> </ul> <p>Process:</p> <ul style="list-style-type: none"> <li>• Hand disinfectant consumption &gt;80–100 liters/1,000 patient days</li> <li>• Daily stop orders established in the patient record, indication documented. Stop orders &gt;80%</li> </ul> <p>Result:</p> <ul style="list-style-type: none"> <li>• Decreasing rate of nosocomial infections over time based on selected lead infection</li> </ul>  |

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| Level of evidence     | Expert consensus                               |
| QUALIFY               | Optional evaluation                            |
| AG-members            | F. Bloos, A. Brinkmann, G. Wöbker, P. Czorlich |
| Conflicts of interest | See Attachment 2                               |
| Literature            | [24–31]  |

## Main indicator VI

|                            |   |
|----------------------------|---|
| Name of the indicator      | <b>Infection management measures</b>  |
| Dimension                  | Risk and effectiveness  |
| Type of indicator          | Structure, process and outcome  |
| Justification              | <p>Early, adequate, and effective diagnosis of infections, anti-infectious therapy and the effective prevention of resistance development and collateral damage, especially in the gastrointestinal microbiome, are of paramount importance for the management of infections in the ICU.</p> <p>The following principles should be followed:</p> <ul style="list-style-type: none"> <li>• Early and adequate empiric antibiotic therapy in patients with severe infections and organ failure (sepsis and septic shock); precise microbiological diagnosis and targeted therapy is carried out in patients with low disease severity</li> <li>• Adequate microbiological diagnosis with suitable materials (including blood cultures) before starting antibiotic therapy</li> <li>• Targeted measures to avoid unnecessary anti-infectious treatments as well as unnecessary and prolonged antibiotic prophylaxis</li> </ul> <p>For the implementation of reliable and effective infection management, an interdisciplinary and interprofessional collaboration including a well-established antibiotic stewardship (ABS) team is recommended.</p> |
| PICO                       | Can the application of standard operating procedures and the monitoring of the appropriate application of guidelines and monitoring of pathogen diagnostic methods improve the outcome of treatment for patients with sepsis compared to non-standardized and monitored diagnostics and therapy?  |
| Quality goal               | Early, adequate, and effective diagnosis of infection, source control, and anti-infectious therapy significantly contribute to improved outcome (mortality, complications and duration of treatment) in critically ill patients with severe infections, sepsis, and septic shock. Rational, targeted, and reliable use of anti-infectious substances also significantly reduce the development of microbial resistance and treatment costs  |
| Structure quality          | SOP for infection management available  |
| Process quality numerator  | Number of adequate antibiotic therapies   |
| Denominator                | All ICU supervised and treated patients with DRG code (for sepsis)  |
| Process quality numerator  | Number of adequate documentation (indication, focus, expected duration of therapy, current guidelines)  |
| Denominator                | All ICU supervised and treated patients with DRG code   |
| Outcome quality numerator  | Number of blood cultures taken  |
| Denominator                | 1,000 patient days  |
| Explanation of terminology | <p>The new definition of sepsis in 2016 moves the focus on clinical aspects. In addition, the SOFA score plays an important role in the detection of sepsis. It is recommended to record the SOFA score daily in all patients with relevant sepsis risk.</p> <p>Methods to improve anti-infectious therapy in the ICU setting include:</p> <p>Structure quality:</p> <ul style="list-style-type: none"> <li>• ABS team (ABS expert, hygiene specialists, infectious disease specialist, ICU physician, clinical pharmacist, microbiologist)</li> <li>• Local guidelines for antibiotic use and SOPs</li> <li>• Local microbial-resistance statistics</li> </ul>   |

|                        |  |
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|                        | <ul style="list-style-type: none"> <li>• Therapeutic drug monitoring</li> <li>• Surveillance of anti-infective drug consumption</li> </ul> <p>Process quality:</p> <ul style="list-style-type: none"> <li>• Adherence to current national guidelines for antimicrobial therapy as well as diagnosis and therapy of sepsis. S2k-LL PEG (2018 update), S3-LL DGI (2018 update), S3-LL sepsis (2020 update), Surviving Sepsis Campaign (SSC 2021 update)</li> <li>• Early and adequate microbiological diagnosis (including blood cultures 2-3 x 2) before starting therapy (SOP)</li> <li>• Timely focus control</li> <li>• Prompt (&lt;1 h) and adequate anti-infective therapy (SOP)</li> <li>• De-escalation at the earliest possible stage</li> <li>• Multi-professional rounds (intensive care specialist, hygienspecialists, infectious disease specialist (if available), ICU-physician, clinical pharmacist, microbiologist) see also QI I</li> <li>• Transparent documentation of indication and expected duration of therapy</li> <li>• Use of therapeutic drug monitoring (TDM, mandatory for aminoglycosides and glycopeptides, recommended for beta-lactams, linezolid, and voriconazole)</li> <li>• Consideration of pharmacokinetic and pharmaco-dynamic principles in dosing and administration, e.g. prolonged/continuous (only with near-time TDM [results available &lt;24 h]) of beta-lactam antibiotics and vancomycin</li> <li>• Use of dose calculation tools for antibiotic dosing in the presence of impaired renal function (see additional information)</li> <li>• Considering drug incompatibilities</li> <li>• Implementation of ABS in daily clinical practice</li> </ul> <p>Outcome quality:</p> <p>Monitoring of appropriate indicators to evaluate the effectiveness of the given standards:</p> <ul style="list-style-type: none"> <li>• Number of blood cultures/1,000 patient days</li> <li>• Proportion of adequate (following current GL) anti-infective therapies</li> <li>• Proportion of adequate documentation (indication, focus and expected duration of therapy)</li> </ul> |
| Source of data         | <ol style="list-style-type: none"> <li>1. Hospital information system, PDMS</li> <li>2. ICU KISS (NRZ)</li> <li>3. Routine DRG data</li> </ol> <p>Query: peer review</p>   |
| Standard value         | <ol style="list-style-type: none"> <li>1. Number of blood cultures <math>\geq 80/1,000</math> patient days</li> <li>2. Number of adequate antibiotic therapy &gt;80%</li> <li>3. Number of adequate documentation (indication, focus, expected duration of therapy, current guidelines) &gt;90%</li> </ol>   |
| Level of evidence      | Expert consensus   |
| QUALIFY                | Optional evaluation  |
| AG-members             | A. Brinkmann, F. Bloos, G. Wöbker  |
| Conflicts of interest  | See Attachment 2   |
| Literature             | [29–43]  |
| Additional information | <p>Use of dose calculation tools for dose finding in impaired renal function.</p> <p>Calculation tools:</p> <ul style="list-style-type: none"> <li>• <a href="http://www.dosing.de">www.dosing.de</a></li> <li>• <a href="http://www.clincalc.com">www.clincalc.com</a></li> <li>• <a href="http://www.tdmx.eu">www.tdmx.eu</a></li> <li>• <a href="http://www.thecaddy.de">www.thecaddy.de</a></li> <li>• VancoEasy/MeroEasy (downloadable apps)</li> </ul>   |

**Main indicator VII**

|                            |  |
|----------------------------|--|
| Name of the indicator      | <b>Patient-adapted clinical nutrition</b>  |
| Dimension                  | Risk and effectiveness   |
| Type of indicator          | Structure and process  |
| Justification              | Almost all patients in the ICU require timely clinical nutritional therapy. This may be due to malnutrition, severe obesity, a severe metabolic disorder, or abnormal substrate utilization. Early initiation of individualized clinical nutrition therapy based on a defined nutritional goal is associated with lower mortality in intensive care patients. The preferred route of application is enteral. Parenteral nutrition may be used as a supplement. Clinical nutrition therapy is based on the current guidelines of the German Society for Nutritional Medicine (DGEM). Nutritional therapy starts with screening for malnutrition, then sets individual nutritional goals and monitors the effectiveness of nutritional therapy. Current metabolic status, stage of disease, and substrate requirements have to be considered. Every ICU should have a standard for nutritional therapy. It describes the aspects mentioned above.  |
| PICO                       | Can individualized clinical nutrition therapy based on an established standard positively influence the course of critical illness and patient-centered outcomes compared to a purely quantitatively based therapy?  |
| Quality goal               | ICU patients receive a standard-based nutritional therapy adapted to their individual needs. The use of the quality indicator is intended to minimize the number of patients receiving inadequate nutritional therapy.   |
| Structure quality          | Nutritional standard available   |
| Process quality numerator  | Number of patients receiving enteral nutrition within 24 h   |
| Denominator                | Number of all patients in whom adequate oral nutrition was not foreseeable from day 1  |
| Process quality numerator  | Number of patients with BMI $\leq 30$ who received adequate nutrition based on the calculated calorie target and adjusted to the patient's metabolism and who reached this dietary target  |
| Denominator                | Number of all patients receiving nutrition with BMI $\leq 30$  |
| Explanation of terminology | <ul style="list-style-type: none"> <li>• Standard: A multi-professional standard should be defined by consensus based on the current DGEM-guideline.</li> <li>• Individualised: Determination of the caloric target, e.g. by calculating it using simple formulas (24 Kcal/kgBW/day, in patients up to a BMI of 30). Individual control of dietary therapy should be based on metabolic requirements (especially insulin dose and serum phosphate concentration) (see figures below).</li> <li>• Patients with severe obesity or malnutrition should be addressed in the SOP.</li> <li>• Early: Start of clinical nutrition therapy within 24 hours of admission if adequate oral feeding is not foreseeable.</li> <li>• Interruptions (e.g. after reflux measurements or before interventions etc.) should be avoided.</li> <li>• Enteral vs. parenteral: All patients without contraindication should receive primarily enteral nutrition. If enteral nutrition is limited or not possible, supplemental or complete parenteral nutrition should be provided.</li> <li>• Calorie target (stage-dependent): The calorie target is based on the patient's body weight and nutritional status. The current guidelines of the professional societies do not show a consensus on the appropriate amount of calories. If possible, start with 75% of the identified daily requirement and reach the nutritional target of 100% after the acute phase.</li> </ul> |
| Source of data             | <ol style="list-style-type: none"> <li>1. Query</li> <li>2. Process: patient file/PDMS</li> <li>3. Process: patient record/PDMS</li> </ol> Query: peer review  |
| Standard value             | <ol style="list-style-type: none"> <li>1. Structure: yes/no (SOP available) (yes=100%)</li> <li>2. Process: early start in &gt;90% of patients</li> <li>3. Process: number of adequately fed patients &gt;70%</li> </ol>   |

|   |                               |       |  |  |              |
|---|-------------------------------|-------|--|--|--------------|
| Level of evidence   | Expert consensus              |       |  |  |              |
| QUALIFY   | Optional evaluation           |       |  |  |              |
| AG-members  | O. Kumpf, E. Muhl, A. Schäfer |       |  |  |              |
| Conflicts of interest   | See Attachment 2              |       |  |  |              |
| Literature  | [44, 45]                      |       |  |  |              |
| Additional information  |                               | Day 0 | Day 1  | Day 2  | Day 3 onward |
|   | Nutrition substrate           | None  | 75% of predetermined caloric goal incl. 0,75 g/kg/BW protein (=3 kcal/kg/BW)                         | 24 kcal/kg/BW if phosphate level >0.65 mmol/l and insulin = <1 IE/h              | ...          |
| Insuline requirements   | --                            | --    | 2-4 IE/h<br>→ 12/kcal/kgBW/day<br>>4IE/h<br>→ 6/kcal/kgBW/day  | If persistent, reduce substrate further (minimum 0 kcal/d)                       |              |
| Phosphate concentration   | --                            | --    | >0.65mmol/l<br>→ 24/kcal(kgBW)/day<br><0.65mmol/l<br>→ 6/kcal(kgBW)/day<br>(+Phosphate substitution) | Increase with 6 kcal/kgBW/d if phosphate is >0.65 mmol/l until 24 kcal/kg/BW/day |              |
| Table is based on: Elke G, Hartl WH, Kreymann KG, Adolph M, Felbinger TW, Graf T, de Heer G, Heller AR, Kampa U, Mayer K, Muhl E, Niemann B, Rümelin A, Steiner S, Stoppe C, Weimann A, Bischoff SC. DGEM-Leitlinie: „Klinische Ernährung in der Intensivmedizin“. <i>Aktuel Ernährungsmed.</i> 2018;43(05):341-408. DOI: 10.1055/a-0713-8179 |                               |       |  |  |              |

### Main indicator VIII

|                           |   |
|---------------------------|---|
| Name of the indicator     | <b>Structured communication with patients and relatives (next of kin)</b>   |
| Dimension                 | Risk and effectiveness  |
| Type of indicator         | Process and outcome   |
| Justification             | Intensive care, including elective or emergency admissions, must be consistent with the patient's will. The patient's expectations and goals have to correspond with the treatment goals of intensive care therapy. In the course of ICU treatment, it is necessary to adjust planned and achieved medical and nursing therapy results to the patient's will to avoid possible harm to the patient, his/her relatives, and also to caregivers.<br>To achieve this harmonization structured discussions between the treating physicians, nurses and therapists and the patient (and/or) with his/her relatives or authorized persons are necessary. The success of this communication depends on structure and technique of these discussions carried out by the intensive care physicians and nurses. |
| PICO                      | Structured communication and documentation of the discussion results leads to the prevention of stress disorders and depression in the patients' relatives. Evidence-based communication techniques that focus on finding common therapy goals among discussion participants protect patients, family members, and staff from preventable stress.   |
| Quality goal              | Improvement of communication with patients and relatives and documentation of structured discussions. Avoidance of post-traumatic stress disorder (PTSD), depression, and anxiety in the patients' family members. Avoidance of ethical and interpersonal conflicts among ICU staff.  |
| Process quality numerator | First structured communication by a qualified physician within 72 hours after admission, including written documentation (standard value 98%) and one structured and documented communication per week  |
| Denominator               | All ICU patients after a stay of >72 hours  |
| Outcome quality           | Use of feedback platforms to evaluate patient and family satisfaction, e.g. family surveys, patient diaries (annual verification)   |

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|----------------------------|---|
| Explanation of terminology | <p>Communication with patients and relatives in the ICU includes many forms. Consistent specification of therapeutic goals can only be achieved through structured discussions and their documentation (including date, participants, and content). The use of forms or templates in an electronic PDMS is recommended. In order to ensure continuity of care in case of treatment restrictions, appropriate templates should be included in the patient file.</p> <p>Within 72 hours of admission to the ICU, an initial discussion should take place, followed by a follow-up interview at least once a week. The patient or his/her family/legal representative, a treating intensive care physician, a nurse, and optionally other disciplines involved in the treatment should take part in the discussions. This structured communication should be documented in a formal way. Contents should include:</p> <ol style="list-style-type: none"> <li>1. Explanation of the current status of the patient</li> <li>2. Determination of the patient's will, de facto or presumed, by the patient him-/herself or his/her representatives. Determination of the perspective of family members if the patient is unable to communicate</li> <li>3. Current treatment options. Further treatment planning taking into account point 2 (→ treatment offer)</li> <li>4. Treatment offer that aligns patient's will and therapy goals.</li> <li>5. Medium- and long-term prognosis considering the probability of success of a therapy</li> <li>6. Conclusion/determinations/consequences</li> </ol> <p>The communication should balance different levels of information which exist between hospital staff and patients as well as their relatives. This supports the position of patients and relatives by empowering patient-side participants. The discussion should, therefore, follow current recommendations (i.e. VALUE concept). Additionally, the use of patient diaries to support family members is recommended. Feelings of guilt have to be avoided, and the patient's will has to be affirmed, ("what would he/she have thought and said if he/she would be sitting here now?"). The aim is to achieve participatory decision-making (i.e. "shared decision-making"). The comprehensive information of patients or their relatives about different treatment options and their consequences should be seen as a prerequisite for this. Since there are always different approaches to treatment, the emotional, cultural or religious needs of patients and relatives have to be integrated into the decision-making-process.</p> <p>ICU-diaries: An ICU-diary is an effective, evidence-based tool to prevent anxiety and depression after an ICU-stay for patients and their families. Daily entries help patients to close memory gaps after treatment. Missing or unreal memories are restored or corrected – the state of health or illness is visualized, memory gaps can be closed more effectively, and the entire situation of the ICU stay can be understood and processed better.</p> <p>Family surveys or other forms of external feedback may help to identify communication deficiencies.</p> |
| Source of data             | Patient file, PDMS<br>Query: peer review  |
| Standard value             | 98% appropriately documented communications   |
| Level of evidence          | Expert consensus  |
| QUALIFY                    | Optional evaluation   |
| AG-members                 | M. Brauchle, J.-P. Braun, A. Brinkmann, P. Czorlich, O. Kumpf, M. Ufelmann, R. Wildenauer   |
| Conflicts of interest      | See Attachment 2  |
| Literature                 | [8, 46–55]  |
| Additional information     | <p><b>VALUE-concept:</b></p> <p><b>V</b> Value family statements</p> <p><b>A</b> Acknowledge family emotions</p> <p><b>L</b> Listen to the family</p> <p><b>U</b> Understand the patient as a person</p> <p><b>E</b> Elicit family questions</p>  |

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|  | <p><b>Shared decision making (SDM):</b><br/> Seeking participation<br/> Helping<br/> Assesing values und preferences<br/> Reaching a decision<br/> Evaluating the decision</p> <p><b>Intensive care diaries:</b><br/> <a href="http://www.intensivtagebuch.de/">http://www.intensivtagebuch.de/</a><br/> Relatives: anyone with whom patients have a significant relationship (e.g. family members, spouse/partner, friends)</p> |
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## Main indicator IX

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|---------------------------|--|
| Name of the indicator     | <b>Early mobilisation</b>  |
| Dimension                 | Risk and effectiveness   |
| Type of indicator         | Process and outcome  |
| Justification             | <p>Early mobilization, i.e. mobilization within 72 hours after admission to intensive care, is an energy-consuming process aiming at maintaining or improving the regenerative capacity of muscles and thus the function, especially the mobility, of a critically ill patient. The quality indicator includes:</p> <ol style="list-style-type: none"> <li>1. Existence of an institutional standard</li> <li>2. Implementation of this standard</li> </ol> <p>Objectives of early mobilization are the improvement of pulmonary function, maintaining and improving muscular regeneration capacity and function, cardiovascular training, support of the weaning process, daily training, promotion of psychosocial well-being, re-orientation and stimulation of vigilance and cognition with the aim of avoiding or shortening delirium, improved communication and initiation of early rehabilitation. Additionally objectives include avoidance of complications such as contractures, decubitus, pneumonia, etc.</p> <p>The results are a significantly shorter respiration and length-of-stay in the ICU and increased functional independence upon discharge from the hospital. The effects seem best when a) patients are mobilized on a protocol basis; and b) critically ill patients with mild to moderate disease are treated; especially those with impaired consciousness benefit from early mobilization. The frequency, duration, and intensity of mobilization for specific conditions remains unclear.</p> <p>Possible forms of early mobilization are:</p> <ul style="list-style-type: none"> <li>• Passive: heart bed, bed mobility, neuromuscular electrical stimulation (NMES), robotics</li> <li>• Assisted: bed bike, functional exercises, resistance exercises, transfers</li> <li>• Active: active exercises, activities of daily living, walking</li> </ul> <p>Early mobilization refers to the gradual mobilization of the critically ill patient within the first 72 hours after intensive care.</p> <p>It is recommended to integrate measures for early mobilization into a treatment concept and to create a standardized algorithm for this, which is then implemented in a patient-adapted manner. In addition, it is recommended to order medically necessary immobilization always explicitly.</p> |
| PICO                      | Do critically ill patients whose mobilization started within 72 hours of intensive care have a better functional outcome than critically ill patients who were not mobilized early and on a structured basis?  |
| Quality goal              | Ensuring sufficient nursing and physiotherapeutic resources for early mobilization   |
| Process quality numerator | Number of patients with early mobilization   |
| Denominator               | Number of patients admitted to ICUs  |
| Outcome quality numerator | Patient days without ordering non-mobilization without medical reason  |
| Denominator               | All patient days   |

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| Explanation of terminology | Various professions are involved in early mobilization, including specialist nurses and physiotherapists. Criteria for early mobilization should be defined on an institutional level. In this context, the advice published in a consensus recommendation describing the safety of mobilization measures in relation to applied invasive therapeutic procedures may be helpful. |
| Source of data             | 1. SOP/Standard available<br>2. Patient record, PDMS, care documentation<br>Query, peer review   |
| Standard value             | 1. Structure: Presence of an algorithm for early mobilization<br>Standard or SOP/algorithm available? Yes/no<br>Yes=100%<br>2. Process: (Implementation) immobilization is ordered in writing; implementation yes/no; yes>90%<br>3. Total number of immobilized patients without indication=0  |
| Level of evidence          | Expert consensus   |
| QUALIFY                    | Optional evaluation  |
| AG-members                 | R. Dubb, A. Kaltwasser, S. J. Schaller, P. Nydahl  |
| Conflicts of interest      | See Attachment 2   |
| Literature                 | [56–64]  |

### Main indicator X

|                             |  |
|-----------------------------|--|
| Name of the indicator       | <b>Direction of the intensive care unit</b>  |
| Dimension                   | Appropriateness, risk and effectiveness  |
| Type of indicator           | Structure  |
| Justification               | The management of the ICU by a certified intensivist who has no other clinical obligations, the presence of a certified intensivist during the core working period, and the presence of intensive care physicians and nursing staff over 24 h ensures the quality of the care and reduces mortality and treatment duration of ICU patients.<br>High-quality care of ICU patients requires the presence of experienced staff around the clock. Management level nurses and physicians as well as hospital administration have to ensure the implementation of the personnel requirements of the DIVI together with the hospital-management. |
| PICO                        | Not applicable   |
| Quality goal                | See justification above  |
| Structure quality numerator | Number of days with fulfillment of structural specifications   |
| Denominator                 | All days of the year over the observed period  |
| Explanation of terminology  | Personal presence of a certified intensivist in the core working time is considered necessary. In the literature, outcome-relevant structural specifications corresponding to the QI X can be found. The ICU has to be staffed with medical and nursing staff who is not assigned any other obligation and who is aware of the current problems of the patients.   |
| Source of data              | Personnel department, duty roster  |
| Standard value              | 97% of days with compliance/year   |
| Level of evidence           | Expert consensus   |
| QUALIFY                     | Optional evaluation  |
| AG-members                  | J. Braun, A. Brinkmann, P. Czorlich, R. Dubb, A. Kaltwasser, O. Kumpf, A. Markewitz, G. Marx, E. Muhl, S. Pelz, R. Riessen, R. Wildenauer, G. Wöbker, H. Wrigge  |
| Conflicts of interest       | See Attachment 2   |
| Literature                  | [65–73]  |
| Additional information      | At the time of publication, this indicator will be adapted according to the recently published recommendations of the DIVI for the structure of intensive care units.  |



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